**Trading Address:**

56 Mill Lane

Solihull

B93 8NW

Tel : 0207 118 1884

Email – [info@guardianlegal.co.uk](mailto:info@guardianlegal.co.uk)

**LITIGATION FUNDING**

**APPLICATION FORM**

APPLICATION FOR LITIGATION FUNDING

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| **1) PROPOSER’S LEGAL REPRESENTATIVES** | |
| Name of Firm |  |
| Is the practice | Partnership LLP Limited Company |
| Registered Office address |  |
| Telephone number |  |
| Solicitor Acting |  |
| Email Address |  |
| Position within Firm |  |
| Date of Retainer |  |
| Solicitor’s case reference |  |
| Name of Counsel |  |
| Year of call and chambers |  |
| Will expert evidence be required? If so, in what area(s)? |  |
| Name of any appointed expert |  |

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| **2) PROPOSER’S DETAILS** | |
| Proposer’s Name |  |
| Address |  |
| Legal status (private individual, a High Net Worth Individual, a company, a partnership etc.) |  |
| Is the proposer the Claimant or Defendant in the action? |  |

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| **3) OPPONENT’S DETAILS** | | |
| Number of Opponents | |  |
| Opponent’s Name | |  | |
| Address | |  | |
| Legal Status Legal status (private individual, a company, a partnership etc.) | |  | |
| Opponent’s solicitors (if known) | |  | |
| Opponent’s counsel (if known) | |  | |
| Opponent’s insurers (if known) | |  | |
| Can the Opponent satisfy any judgment obtained? Please provide supporting documentation. | |  | |

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| **4) CASE TYPE** | |
| Description  e.g. Professional Negligence, Breach of Contract etc. |  |

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| **5) CASE DETAILS** | |
| Nature of dispute |  |
| Date of cause of action |  |
| Jurisdiction (NB. If jurisdiction is disputed, please give details) |  |
| Forum (court, tribunal etc.) |  |
| Percentage prospects of a successful outcome |  |
| Full value of claim in GBP (excluding costs) |  |
| Minimum acceptable settlement figure in GBP (NB. If the client would consider non- monetary settlement, please give details) |  |

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| Are there any factors which could reduce damages (e.g. issues of contributory negligence, mitigation, set-off etc? |  | | |
| Any non-financial remedy sought? |  | | |
| Has the Letter of Claim been sent? |  | Date sent |  |
| Has liability been admitted? |  | | |
| Has any offer of settlement been made? |  | | |
| If yes, please provide details |  | | |
| Has mediation or any other ADR been suggested? Please provide details |  | | |
| Do you consider this claim has good settlement prospects? |  | | |
| Have proceedings been issued? |  | Date issued |  |
| If yes, please advise of the stage that proceedings have reached |  | | |
| If not, when will the claim become statute barred for Limitation? |  | | |
| Has a Defence been filed? |  | | |
| When might the trial reasonably commence? |  | | |
| Are there any other legal proceedings between the parties (past or present)? Please provide details. |  | | |
| Is a counterclaim to be expected? If yes, on what basis? |  | | |
| Please give details of any interlocutory applications by any party reasonably envisaged or already made |  | | |

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| **6) RETAINER INFORMATION** | |
| Please confirm the retainer under which your firm will be acting (i.e. private fee paying basis, full/partial CFA, contingency fee, DBA etc.) If acting on a partial CFA, what is the percentage |  |
| Please confirm the retainer under which Counsel will be acting (i.e. private fee paying basis, full/partial CFA, contingency fee, DBA etc.) If acting on a partial CFA, what is the percentage of counsel’s risk? |  |

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| **7) COSTS INFORMATION** | | | | | | |
|  | To date | Funding required? | | Estimated total to Trial | Funding required? | |
| Own solicitors’ fees |  | Yes | No |  | Yes | No |
| Own disbursements (excluding Counsel’s fees) |  | Yes | No |  | Yes | No |
| Own Counsel’s fees |  | Yes | No |  | Yes | No |
| Likely adverse costs and disbursements |  | \* Insurance required? Yes No | |  | \* Insurance required? Yes No | |
| Total funding required | Taking all of the above into account, please confirm the likely level of funding required in total: £ | | | | | |

**N.B. Should Guardian Legal obtain an indicative offer you might be required to submit a more detailed costs breakdown**

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| **8) ALTERNATIVE PROVIDERS** | |
| Please provide details of any pre-existing Before the Event or After the Event Insurance which will cover this dispute |  |
| Has the proposer approached any litigation funding or legal expense insurance providers or brokers prior to instructing GLS? Please provide details including the identity of the providers and their decision. |  |

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| **9) SUPPORTING DOCUMENTATION** |

Well-presented and complete funding applications have a higher chance of receiving a positive response. If further information is required, completion of the assessment is likely to be considerably delayed.

In addition to that suggested below, please enclose all relevant documentation which you think will be of assistance

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|  | Enclosed | Available if required | Not available |
| Case Summary |  |  |  |
| Pleadings (draft or finalised) |  |  |  |
| Copies of correspondence with the opponent |  |  |  |
| Expert/Witness evidence |  |  |  |
| Counsel’s Advice |  | Enclose if available |  |
| Completed costs budget |  |  |  |
| Evidence of opponent’s financial strength |  | Enclose if available |  |
| Solicitor’s risk assessment |  | Enclose if available |  |

**10) DECLARATION (TO BE SIGNED BY BOTH CLIENT AND LAWYER)**

I/Will accept the Funder’s Terms of Business if we proceed

The information contained within this form and accompanying documentation is true to the best of my/our knowledge and belief. I/We further understand that I am/we are under a continuing duty to disclose all material facts and information that may reasonably influence the funder’s decision to offer funding.

I/We believe that the amount of funding requested is sufficient to pursue the claim to the conclusion of a fully contested trial and has been calculated on the basis of considered costs budgets.

I/We understand that in deciding whether to recommend an offer of litigation funding, the Funder may liaise with its external assessors and/or insurers.

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| Signed (proposer) |  | Date |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Signed (Solicitor) |  | Date |  |

Your fully completed application form and supporting documentation can be sent to [**info@guardianlegal.co.uk**](mailto:info@guardianlegal.co.uk).

If papers are being sent by post, please address them to:

**Guardian Legal Services Limited**

56 Mill Lane

Solihull

B93 8NW

NB. If case files or extensive documentation is being sent please contact **Paul N Gilbert** beforehand for instructions

**Please note that all information provided to us will be shared with potential funders such information to be kept confidential and will be disposed of confidentially if the proposal is subsequently declined.**

**We do not return documentation unless postage and packaging costs are paid for in advance.**