



Pickford House
Pickford Green Lane
Coventry
CV5 9AP

DX 13702 BALSALL COMMON

Tel : 0207 118 1884

Email – info@guardianlegal.co.uk

FUNDING APPLICATION



APPLICATION FOR LITIGATION FUNDING

1) PROPOSER'S LEGAL REPRESENTATIVES	
Name of Firm	
Is the practice	<input type="checkbox"/> Partnership <input type="checkbox"/> LLP <input type="checkbox"/> Limited Company
Registered Office address	
Telephone number	
Solicitor Acting	
Email Address	
Position within Firm	
Date of Retainer	
Solicitor's case reference	
Name of Counsel	
Year of call and chambers	
Will expert evidence be required? If so, in what area(s)?	
Name of any appointed expert	

2) PROPOSER'S DETAILS	
Proposer's Name	
Address	
Legal status (private individual, a High Net Worth Individual, a company, a partnership etc.)	
Is the proposer the Claimant or Defendant in the action?	



3) OPPONENT'S DETAILS	
Number of Opponents	
Opponent's Name	
Address	
Legal Status Legal status (private individual, a company, a partnership etc.)	
Opponent's solicitors (if known)	
Opponent's counsel (if known)	
Opponent's insurers (if known)	
Can the Opponent satisfy any judgment obtained? Please provide supporting documentation.	

4) CASE TYPE	
Description e.g. Professional Negligence, Breach of Contract etc.	

5) CASE DETAILS	
Nature of dispute	
Date of cause of action	
Jurisdiction (NB. If jurisdiction is disputed, please give details)	
Forum (court, tribunal etc.)	
Percentage prospects of a successful outcome	
Full value of claim in GBP (excluding costs)	
Minimum acceptable settlement figure in GBP (NB. If the client would consider non-monetary settlement, please give details)	



Are there any factors which could reduce damages (e.g. issues of contributory negligence, mitigation, set-off etc?)			
Any non-financial remedy sought?			
Has the Letter of Claim been sent?		Date sent	
Has liability been admitted?			
Has any offer of settlement been made?			
If yes, please provide details			
Has mediation or any other ADR been suggested? Please provide details			
Do you consider this claim has good settlement prospects?			
Have proceedings been issued?		Date issued	
If yes, please advise of the stage that proceedings have reached			
If not, when will the claim become statute barred for Limitation?			
Has a Defence been filed?			
When might the trial reasonably commence?			
Are there any other legal proceedings between the parties (past or present)? Please provide details.			
Is a counterclaim to be expected? If yes, on what basis?			
Please give details of any interlocutory applications by any party reasonably envisaged or already made			

6) RETAINER INFORMATION

Please confirm the retainer under which your firm will be acting (i.e. private fee paying basis, full/partial CFA, contingency fee, DBA etc.) If acting on a partial CFA, what is the percentage	
Please confirm the retainer under which Counsel will be acting (i.e. private fee paying basis, full/partial CFA, contingency fee, DBA etc.) If acting on a partial CFA, what is the percentage of counsel's risk?	



7) COSTS INFORMATION				
	To date	Funding required?	Estimated total to Trial	Funding required?
Own solicitors' fees		<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No
Own disbursements (excluding Counsel's fees)		<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No
Own Counsel's fees		<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No
Likely adverse costs and disbursements		* Insurance required? <input type="checkbox"/> Yes <input type="checkbox"/> No		* Insurance required? <input type="checkbox"/> Yes <input type="checkbox"/> No
Total funding required	Taking all of the above into account, please confirm the likely level of funding required in total: £			

N.B. Should Guardian Legal obtain an indicative offer you might be required to submit a more detailed costs breakdown

8) ALTERNATIVE PROVIDERS	
Please provide details of any pre-existing Before the Event or After the Event Insurance which will cover this dispute	
Has the proposer approached any litigation funding or legal expense insurance providers or brokers prior to instructing GLS? Please provide details including the identity of the providers and their decision.	

9) SUPPORTING DOCUMENTATION

Well-presented and complete funding applications have a higher chance of receiving a positive response. If further information is required, completion of the assessment is likely to be considerably delayed. In addition to that suggested below, please enclose all relevant documentation which you think will be of assistance

	Enclosed	Available if required	Not available
Case Summary			
Pleadings (draft or finalised)			
Copies of correspondence with the opponent			
Expert/Witness evidence			
Counsel's Advice		Enclose if available	
Completed costs budget			
Evidence of opponent's financial strength		Enclose if available	



Solicitor's risk assessment		Enclose if available	
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10) DECLARATION (TO BE SIGNED BY BOTH CLIENT AND LAWYER)

I/Will accept the Funder’s Terms of Business if we proceed

The information contained within this form and accompanying documentation is true to the best of my/our knowledge and belief. I/We further understand that I am/we are under a continuing duty to disclose all material facts and information that may reasonably influence the funder’s decision to offer funding.

I/We believe that the amount of funding requested is sufficient to pursue the claim to the conclusion of a fully contested trial and has been calculated on the basis of considered costs budgets.

I/We understand that in deciding whether to recommend an offer of litigation funding, the Funder may liaise with its external assessors and/or insurers.

Signed (proposer)		Date	
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Signed (Solicitor)		Date	
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Your fully completed application form and supporting documentation can be sent to info@guardianlegal.co.uk.

If papers are being sent by post, please address them to:

Guardian Legal Services - DX 13702 Balsall Common

Or by Post to:

Guardian Legal Services Limited
Pickford House
Pickford Green Lane
Coventry
CV5 9AP

NB. If case files or extensive documentation is being sent please contact **Paul N Gilbert** beforehand for instructions

Please note that all information provided to us will be shared with potential funders such to be kept confidential and will be disposed of confidentially if the proposal is subsequently declined.

We do not return documentation unless postage and packaging costs are paid for in advance.